

## I MINA'TRENTA NA LIHESLATURAN GUÅHAN 2010 (SECOND) Regular Session

# CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUÅHAN

This is to certify that Substitute Bill No. 92-30 (COR), "AN ACT TO REPEAL AND REENACT §§ 4403, 4405 (b) AND (c), 4408, AND §5105; TO AMEND §4406; AND TO ADD NEW §§ 4410 AND 4411 TO TITLE 4, GUAM CODE ANNOTATED, RELATIVE TO RESTORING CERTAIN JURISDICTIONS, AND TO CLARIFY CERTAIN FUNCTIONS OF THE CIVIL SERVICE COMMISSION," was on the 26th day of February, 2010, duly and regularly passed.

|   | Speaker  |  |  |  |  |
|---|--|--|--|--|--|
| Attested:  Tina Rose Muña Barnes  Legislative Secretary           |  |  |  |  |  |
| This Act was received by I Maga'lahen Guåhan this5; 40o'clock_PM. | day of Mand, 2010, at  Assistant Staff Officer  Maga'lahi's Office |  |  |  |  |
| APPROVED:   | waga uni s Office  |  |  |  |  |
| FELIX P. CAMACHO<br>I Maga'lahen Guåhan                           |  |  |  |  |  |
| Date:   |  |  |  |  |  |

Public Law No.

### I MINA'TRENTA NA LIHESLATURAN GUÅHAN 2009 (FIRST) Regular Session

#### Bill No. 92-30 (COR)

As substituted by the Committee on Labor, the Public Structure, Public Libraries and Technology, and amended on the Floor.

Introduced by:

1

Judith P. Guthertz, DPA Adolpho B. Palacios, Sr.

R. J. Respicio

T. C. Ada

F. B. Aguon, Jr.

B. J.F. Cruz

F. F. Blas, Jr.

E. J.B. Calvo

J. V. Espaldon

T. R. Muña Barnes

v. c. pangelinan

Telo Taitague

Ray Tenorio

Judith T. Won Pat, Ed.D.

AN ACT TO *REPEAL* AND *REENACT* §§ 4403, 4405 (b) AND (c), 4408, AND §5105; TO *AMEND* §4406; AND TO *ADD NEW* §§ 4410 AND 4411 TO TITLE 4, GUAM CODE ANNOTATED, RELATIVE TO RESTORING CERTAIN JURISDICTIONS, AND TO CLARIFY CERTAIN FUNCTIONS OF THE CIVIL SERVICE COMMISSION.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

- 2 Section 1. Legislative Findings and Intent. I Liheslatura finds that the
- 3 passage of Public Law 28-68 resulted in most of the non-adjudicatory functions of
- 4 the Civil Service Commission (CSC) being repealed entirely or transferred to the
- 5 Director of the Department of Administration (DOA), one major function being the

administration of compensation and classification for government of Guam employees.

Much confusion was also created by Public Law 28-68, specifically whether investigations performed by the CSC are considered adjudicatory or non-adjudicatory, and have resulted in "Whistle-Blower" complaints and furlough appeals remaining in limbo. Some other check-and-balance functions once performed by the CSC were eliminated by Public Law 28-68 and have resulted in a lack of uniformity in the application of the merit system and some questionable employment practices, including the post-audit review and the full null and void authority. Several GovGuam departments, including the Guam Memorial Hospital Authority, Guam Power Authority, and Guam Waterworks Authority, have expressed their desire for the Civil Service Commission to have the jurisdiction to hear certain appeals of their employees.

I Liheslatura now finds that the restoration of the jurisdiction and the clarification of certain functions of the CSC are necessary and will improve the merit system and better fulfill the requirements of Section 1422c of the Organic Act which states:

"The Legislature *shall* establish a merit system and, as far as practicable, appointments and promotions *shall* be made in accordance with such merit system. The Government of Guam may by law establish a Civil Service Commission to administer the merit system."

Therefore, it is the intent of *I Liheslaturan Guåhan* to make the amendments herein in an effort to restore jurisdiction to the CSC to the extent necessary to administer the merit system and to eliminate the strict demarcation of adjudicatory versus non-adjudicatory functions imposed by Public 28-68 and other laws.

**Section 2.** Title 4, GCA, Chapter 4, Article 4, §4403 is repealed and reenacted to read:

- **"§4403. Duties of the Commission**. The Commission has the following duties, powers and responsibilities:
- (a) It *shall* investigate conditions of government employment as it deems necessary and report its findings and recommendations to *I Maga'lahi* (the Governor) and *I Liheslatura* (Legislature) annually, and also post such reports on the Commission's website;
- (b) It *shall* hear appeals from the adverse actions taken to suspend, demote or dismiss an employee from the classified service *if* such right of appeal to the Commission is established in the personnel rules governing the employee; however, it may *not* hear any appeal of an action taken to suspend, demote or dismiss an employee of the government of Guam who has *not* been hired through the competitive hiring procedures of the personnel rules of the government of Guam, as such personnel rules required at the time of the hiring of the employee, *nor* any unclassified employee;
- (c) To hear appeals of furloughs, lay-offs, grievance complaints, and Equal Employment Opportunity complaints of an employee from the classified service *if* such right of appeal to the Commission is established in the personnel rules governing the employee. The Commission *shall* adopt rules and procedures for furlough appeals, lay-off appeals, grievance complaint appeals, and Equal Employment Opportunity complaint appeals;
- (d) The Commission may investigate and set aside and declare null and void any personnel action of an employee in the classified service *if* the Commission finds after conducting the necessary investigation that the personnel action was taken in violation of personnel laws or rules; provided, however, that this Section shall *not* be deemed to permit appeals by

employees from adverse actions *not* covered in Subsection (b) above. The agency head *shall* cooperate and assist with the Commission's investigation. Prior to declaring any personnel action null and void, the Commission *shall* provide written notice of the alleged violation to the agency head. The agency head *shall* respond within ten (10) calendar days after receipt of the notice to the Commission's proposed action. All actions taken by the Commission pursuant to this Section *shall* be taken within one hundred eighty (180) calendar days after the personnel action or complaint is filed with the Commission. The Civil Service Commission *shall* submit a copy of its final decision to *I Liheslatura* by the next working day. The Commission *shall* adopt rules and regulations to effectuate this Section, including the requirement of a written complaint to initiate any investigation. The Commission's decision *shall* be final but subject to judicial review;

- (e) It *shall* conduct reviews of notices of personnel actions of employees from the classified service. All notices of personnel actions regarding the classified service *shall* be filed with the Commission within twenty (20) calendar days after their effective date. Failure to submit the required notices of personnel actions within ten (10) calendar days after written request by the Commission will immediately result in a ten percent (10%) salary reduction of the agency head and his deputy until compliance is made. The Civil Service Commission *shall* submit a copy of its written request to the agency head and his deputy for compliance with this Section to *I Liheslatura* by the next working day;
- (f) The jurisdiction of the Commission *shall* also apply to the adverse action appeals of certified, technical, and professional personnel of the Guam Power Authority and the Guam Waterworks Authority; the

jurisdiction of the Commission *shall* apply to all classified personnel of the Guam Memorial Hospital Authority;

- (g) The provisions of this Section shall *not* apply to the Judiciary of Guam *or I Liheslaturan Guåhan* (the Guam Legislature) in compliance with the doctrine of Separation of Powers, unless such separate Branch opts to make them applicable by submitting to the jurisdiction of the Commission; and all reference to classified employees will be deemed to mean classified employees of the Executive Branch, including agencies and authorities;
- (h) The jurisdiction of the Commission shall *not* extend to academic personnel of the Guam Community College and the University of Guam, *except* upon mutual consent by the governing board of the respective institution and the Commission; *nor* to any position or person, appeal or proceeding of whatever kind or description *if* the position is denominated "unclassified" in this Title, *except* to the extent explicitly permitted in this Section; and
- (i) The jurisdiction of the Commission *shall* extend to investigating Public Employee Protection Act complaints (a.k.a. "whistle-blower" complaints) pursuant to Title 4, GCA, §4501 et seq."
- **Section 3.** Title 4, GCA, Chapter 4, Article 4, §4406 is *amended* by adding the following at the end of the existing Section:
  - "The party who appeals the Commission's decision to the court is responsible for providing certified transcripts of hearings and *shall* bear associated costs."
- **Section 4.** Title 4, GCA, Chapter 4, Article 4, §4408 is repealed and reenacted to read:
- **"§4408. Enforcement of Orders.** The Civil Service Commission may seek enforcement of its decisions and orders in all decisions and orders

in all matters permitted by this Title by application to the Superior Court for the appropriate remedy. In seeking enforcement of its decisions and orders, the application for enforcement *shall* be entitled, "The Civil Service Commission v. (department, agency, instrumentality or officer)." *No* enforcement *shall* be commenced against any department head or other employee or officer of the Government in his personal capacity."

**Section 5.** §4405 (b) and (c) of Title 4, GCA, Chapter 4, Article 4, are repealed and reenacted to read:

- "(b) The Executive Director may, with Commission approval, hire such professional, clerical, and other staff as may be deemed necessary to assist the Commission in performing its duties and functions;
- (c) The Commission may employ an Administrative Law Judge (ALJ), full or part-time, to assist the Commission with the adjudicatory responsibilities, or the Commission may retain a private attorney or attorneys to serve as an ALJ on a case-by-case basis. A decision by the ALJ *shall* be forwarded for review to the Commission, which *shall* accept or reject in its entirety, the ALJ's decision. The ALJ *shall* follow procedures approved by the Commission. An ALJ must be a Guam-licensed attorney."
- **Section 6.** A new §4410 of Title 4, GCA, Chapter 4, Article 4, is *added* to read:

"§4410. Authority to Slot On-Going Cases into Open Hearing Dates. The Commission *shall* have the authority to slot an on-going scheduled hearing into an open hearing date should a sooner hearing date become available provided that: 1) Both sides agree; and 2) The original public notice for the initial hearing met the requirements of the Open Government Act. The public notice requirement of the Open Government Act *shall* be deemed met for purposes of on-going scheduled hearings

| 1 | relative | to   | this | Section | if | the | original | initial | hearing | satisfied | such |
|---|----------|------|------|---------|----|-----|----------|---------|---------|-----------|------|
| 2 | requirem | nent | s."  |         |    |     |          |         |         |           |      |

Section 7. A new §4411 of Title 4, GCA, Chapter 4, Article 4, is added to 4 read:

#### "§4411. Civil Service Commission Revolving Fund.

- (a) There is hereby created the Civil Service Commission Revolving Fund, which *shall* be accounted for separately from the General Fund. Monies in the revolving fund shall *not* lapse at the end of a fiscal year but *shall* roll-over until used by the Commission.
- (b) All monies received either as payment for Commission publications, photocopying fees, training, presentations, or other activities authorized by the Board *shall* be deposited into the Revolving Fund. Expenditure authority over the revolving fund is vested in the chair of the Commission.
- (c) Moneys in the Revolving Fund *shall* be used to pay for printing or photocopying supplies of the Commission, related costs for creating or compiling Commission publications in electronic media format, materials for training, training of Commission staff, and membership dues for professional organizations relevant to the Commission. Moneys in the revolving fund shall *not* be used to pay any personnel expenses."
- Section 8. Title 4, GCA, Chapter 5, §5105 is repealed and reenacted to read:
  - "§5105. Investigations by Civil Service Commission. When any person has reason to believe that an employee has violated § 5103 he *shall* report the matter to the Civil Service Commission. On receipt of such report or on receipt of such other information which seems to the Commission to warrant an investigation, the Commission *shall* investigate the matter in

accordance with the provisions of the rules and procedures established by the Civil Service Commission and the following:

- (a) All hearings *shall* be conducted before the entire Commission; and
- (b) The decision of the Commission *shall* include a determination of whether a violation of § 5103 has occurred and whether a violation warrants the removal of the employee from his employment."
- Section 9. Savings Clause. This Act and any repealer contained herein shall not be construed as affecting any existing right acquired under contract or acquired under statutes repealed or under any rule, regulation or order adopted under the statutes. Repealers contained in this Act shall not affect any proceeding instituted under or pursuant to prior law. The enactment of this Act shall not have the effect of terminating, or in any way modifying, any liability, civil or criminal, which shall already be in existence on the date this Act becomes effective.
- **Section 10. Effective Date.** This Act *shall* take effect upon its enactment into law.
- **Section 11. Severability.** *If* any provision of this Act or the application of any such provision to any person or circumstance should be held invalid by a court of competent jurisdiction, the remainder of this Act or the application of its provisions to persons or circumstances other than those to which it is held invalid shall *not* be affected thereby.